

Universal Framework — E3 — Executed Evidence Requirements

Documentary evidence that the domain is demonstrably working in practice — outcomes, trends, or decisions that prove the capability is executed, not just enabled. This document sets the minimum documentation required, across all seven capability domains, to support a E3 attribution.

DOMAIN 1	
Strategy & Direction	
METRIC	
% of strategic objectives with a named owner and tracked outcomes	
E3	Gateway question: Have objectives demonstrably driven real decisions (funding, reprioritisation, stopping initiatives)?
EXECUTED	MINIMUM DOCUMENTATION REQUIRED One or more Board/Executive minutes, business cases, or management papers (last 12–18 months) showing a funding, scope or reprioritisation decision explicitly driven by objective/KPI performance.
If a document does not exist, a signed client attestation may substitute; the resulting finding is then reported as "assessed on attestation, not independently verified."	

DOMAIN 2

Decision-Making Quality

METRIC

Decision reversal or rework rate

E3

EXECUTED

Gateway question: Is there evidence decisions are made once, stick, and rarely require reversal or rework?

MINIMUM DOCUMENTATION REQUIRED

A short log or sample (3–5) of recent material decisions with outcome status, showing whether any were later reversed or required substantial rework.

If a document does not exist, a signed client attestation may substitute; the resulting finding is then reported as "assessed on attestation, not independently verified."

DOMAIN 3

Risk & Resilience

METRIC

% of critical risks with treatments that reduce risk to target

E3

EXECUTED

Gateway question: Have treatments demonstrably reduced risk exposure in practice (not just on paper)?

MINIMUM DOCUMENTATION REQUIRED

Before/after risk ratings, KRI trend data, or control-testing/incident evidence for critical risks over at least one prior period.

If a document does not exist, a signed client attestation may substitute; the resulting finding is then reported as "assessed on attestation, not independently verified."

DOMAIN 4

Execution & Operational Control

METRIC

On-time, on-budget delivery rate for material initiatives

E3

EXECUTED

Gateway question: Do initiatives consistently deliver outcomes within agreed time and cost tolerances?

MINIMUM DOCUMENTATION REQUIRED

On-time/on-budget performance data for material initiatives over the past 12–24 months (a simple summary table is acceptable), or closure/post-implementation reports.

If a document does not exist, a signed client attestation may substitute; the resulting finding is then reported as "assessed on attestation, not independently verified."

DOMAIN 5

People Leadership & Culture

METRIC

Voluntary turnover in critical roles

E3

EXECUTED

Gateway question: Is voluntary turnover in critical roles demonstrably controlled and within tolerance?

MINIMUM DOCUMENTATION REQUIRED

Voluntary turnover data for critical roles over the last 12–24 months (HR extract or simple count), compared against any stated target/tolerance.

If a document does not exist, a signed client attestation may substitute; the resulting finding is then reported as "assessed on attestation, not independently verified."

DOMAIN 6

Learning & Improvement

METRIC

Repeat incidents or repeat failures

E3

EXECUTED

Gateway question: Is there evidence lessons learned have reduced repeat incidents or failures?

MINIMUM DOCUMENTATION REQUIRED

Trend data on repeat incidents/failures over 12+ months, or an effectiveness review showing a corrective action prevented recurrence.

If a document does not exist, a signed client attestation may substitute; the resulting finding is then reported as "assessed on attestation, not independently verified."

DOMAIN 7

Governance & Accountability

METRIC

% of material issues closed on time (audit, reviews, incidents)

E3

EXECUTED

Gateway question: Are material issues reliably closed on time, with consequences for non-closure?

MINIMUM DOCUMENTATION REQUIRED

Closure-rate data or audit-tracking report showing the % of material issues closed on time over a period, including any overdue items and how these are escalated.

If a document does not exist, a signed client attestation may substitute; the resulting finding is then reported as "assessed on attestation, not independently verified."